SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres <u>ROBERTS G</u>			2. Issuer Name and Ticker or Trading Symbol <u>A-Mark Precious Metals, Inc.</u> [AMRK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner										
(Last) 2121 ROSECRA	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/13/2024	X Officer (give title Other (specify below) below) Chief Executive Officer										
SUITE 6300			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)										
(Street)				X Form filed by One Reporting Person										
EL SEGUNDO	CA	90245	Form filed by More than One Reporting Person											
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication	Rule 10b5-1(c) Transaction Indication										
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
		1												

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock, par value \$0.01 per share	05/13/2024		М		10,000	A	\$8.4	38,202	D	
Common Stock, par value \$0.01 per share	05/13/2024		S		10,000	D	\$ 37.461 ⁽¹⁾	28,202	D	
Common Stock, par value \$0.01 per share	05/14/2024		М		15,000	Α	\$8.4	43,202	D	
Common Stock, par value \$0.01 per share	05/14/2024		S		14,426	D	\$38.9056(2)	28,776	D	
Common Stock, par value \$0.01 per share	05/14/2024		S		574	D	\$39.5669(3)	28,202	D	
Common Stock, par value \$0.01 per share								1,557,876	Ι	See footnote ⁽⁴⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puis, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Secu Acq (A) o Disp of (E	umber vative urities uired or oosed)) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$8.4	05/13/2024		М			10,000	(5)	02/19/2026	Common Stock Options	10,000	\$8.4	180,000	D	
Stock Option (right to buy)	\$8.4	05/14/2024		М			15,000	(5)	02/19/2026	Common Stock Options	15,000	\$8.4	165,000	D	

Explanation of Responses:

1. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$37.32 to not more than \$37.55. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

2. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$38.50 to not more than \$39.46. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

3. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$39.52 to not more than \$39.61. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

4. Shares beneficially owned by Silver Bow Ventures, LLC, which is 50% owned by the Reporting Person. The Reporting Person disclaims beneficial ownership of such shares in excess of his proportionate pecuniary interest in Silver Bow Ventures, LLC.

5. The option granted covered 200,000 shares, and vested 25% per year on June 30, 2017, 2018, 2019 and 2020.

Remarks:

/s/Carol Meltzer, by power of attorney

05/14/2024

** Signature of Reporting Person

n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.