SEC Form 4

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Instr

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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ations may continue. See	
uction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Gjerdrum Thor			2. Issuer Name and Ticker or Trading Symbol <u>A-Mark Precious Metals, Inc.</u> [AMRK]	(Check	ationship of Reporting Pers < all applicable) Director Officer (give title	son(s) to Issuer 10% Owner Other (specify
(Last) 2121 ROSECRA SUITE 6300	2121 ROSECRANS AVE		3. Date of Earliest Transaction (Month/Day/Year) 11/17/2021	X	below) President	below)
(Street) EL SEGUNDO (City)	CA (State)	90245 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	orting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock, par value \$0.01 per share	11/17/2021(1)		М		1,354	A	\$ 15	1,354	D	
Common Stock, par value \$0.01 per share	11/17/2021(1)		S		1,354	D	\$71.478 ⁽²⁾	0	D	
Common Stock, par value \$0.01 per share	11/17/2021(1)		М		2,213	A	\$15	2,213	D	
Common Stock, par value \$0.01 per share	11/17/2021(1)		S		2,213	D	\$72.5034 ⁽³⁾	0	D	
Common Stock, par value \$0.01 per share	11/17/2021(1)		М		993	A	\$15	993	D	
Common Stock, par value \$0.01 per share	11/17/2021(1)		S		993	D	\$ 73.2821 ⁽⁴⁾	0	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (E (Inst	f Expiration Date (Month/Day/Year) eccurities ccquired A) or isposed		Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$15	11/17/2021		М			4,560	(5)	09/07/2026	Common Stock, par value \$0.01 per share	4,560	\$0.00	5,214	D	

Explanation of Responses:

1. Stock option exercise made pursuant to Rule 10b5-1 trading plan.

2. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$71.04 to not more than \$71.95. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

3. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$72.07 to not more than \$72.90. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

4. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$73.21 to not more than \$73.42. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

5. The option granted covered 66,667 shares, and vested 33.3% per year on June 30, 2017, 2018 and 2019.

Remarks:

/s/ Carol Meltzer, by power of 11/18/2021

attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.